



**ACADEMIC & ADMINISTRATIVE  
AUDIT REPORT  
2019-2020**



Prepared by: Internal Quality Assurance Cell

ANDHRA UNIVERSITY

VISAKHAPATNAM

530003

Andhra University is one of the oldest state universities in the state of Andhra Pradesh. Considering the merits of the University, the National Assessment and Accreditation Council of India has reaccredited at “A” Grade with a CGPA of 3.60 out of four point scale in 2016. The University got ISO 9001:2001 certification in 2006, ISO 9001:2008 upto 2017 and presently it possesses ISO 9001:2015 certification for its quality standards. It is the 1st general University in the country to have ISO certification.

Andhra University conducts internal and external academic and administrative audits annually. The office of IQAC conducts academic and administrative audits through its 20 trained internal auditors. The external audits are done annually by TUV SUD.

Academic and Administrative Audit enhances quality and continuous improvement leading to one of the best practices of Andhra University. The audits aim to identify any drawbacks or weakness in any department or section based on the observation of the trained internal auditors. They are reviewed by the Top Management regularly and the audit findings help the administration in implementing the necessary steps for quality enhancement.

### **1.Scope of the Internal AAA:**

The audit process strictly adhered to a proforma structured by the IQAC for the evaluation based on NAAC criteria's as given:

- i. **Curricular Aspects:** Curriculum design, development, BOS, framing of COs, POs, PEOs, PSOs for each course and programs , Curriculum enrichment, feedback
- ii. **Teaching-Learning & Evaluation:** Student mentoring, student assessment, student diversity, Teaching learning process, usage of ICT enabled tools, evaluation process and reforms, Faculty profiles, Student learning outcomes
- iii. **Research, innovation & extension: Research facilities, papers published, patents, research projects**
- iv. **Feedback system**
- v. **Research**
- vi. **Infrastructure**
- vii. **Department Administration**
- viii. **Other academic-oriented activities**

**PERIOD OF ASSESSMENT : 2019-20**

**DATE(s) OF AUDIT: 31<sup>st</sup> August 2020 to 15<sup>th</sup> September 2020**

(Due to COVID-19 the audit schedule has been delayed)

**2. The list of trained internal auditors and the departments to be audited are as follows:**

## The Internal Audit Committee and departments to audit by the auditors:

Team	Name of the Internal auditor	Principal office / Department/ Others
<b>A</b>	Prof.G.Girija Sankar Prof. D.LalithaBhaskari	Top Management
<b>B</b>	Prof.G.GirijaSankar Prof.K.RamaSudha	IQAC Office
<b>C</b>	Prof.P.K.Ratna Kumar 9848806706  Dr.G.Naga Raja 8466902549	Anthropology Statistics Applied Mathematics Mathematics Marine Engineering, AUCE(A) Microbiology Biochemistry Engineering Chemistry Mechanical Engineering, AUCE(A)
<b>D</b>	Prof.N.V.E.S.Murthy 9440702314  Prof. M.VijayaSanthi 9989012529	Directorate of Admissions Human Resources Management Physics, Biotechnology Nuclear Physics Geo-Engineering, AUCE(A) Electrical Engineering, AUCE(A) Philosophy
<b>E</b>	Prof. V.GirijaSastry 9866771724  Prof. K.Basavaiah 9908036203 7675079052	Journalism and Mass Communication Library Information Science University Library History & Archaeology Meteorology & Oceanography Instrument Technology, AUCE(A) Humanities and Social Sciences, AUCE(A)
<b>F</b>	Prof. K.SrinivasaRao 9866037087  Prof. Balarampadal 9441586593	Principal office, College of Pharmaceutical Science Psychology & Parapsychology Metallurgical Engineering, AUCE(A) Mechanical Engineering, A.U. Engineering College for Women Organic Chemistry Chemical Engineering, AUCE(A) Computer Science & System Engineering, AUCE(A) Sanskrit Architecture, AUCE(A)
		Examination section

<b>G</b>	Prof.G.GirijaSankar	Electronics & Communication Engineering, A.U. Engineering College for Women
	9866937957	Electronics & Communication Engineering, AUCE(A)
		Fine Arts
		Social Work
	Dr. P.YedukondalaRao 9490132294	Sociology
		Principal, College of Arts & Commerce
<b>H</b>	Prof. M.S. Anuradha 9441209464	Engineering Mathematics
		Environmental Sciences
		Geography
		Principal, College of Law
	Prof.P.Suneetha 9848250429	Engineering Physics
		Engineering College for Women(Principal office)
<b>I</b>	Prof.N.KishoreBabu 9848112219	Electrical Engineering & Civil Engineering A.U. Engineering College for Women
		A.U. Engineering College for Women
		School of Economics
		Music
		Telugu
	Dr. T.Anuja 8639349308	Education
		Zoology
		Systems Design
<b>J</b>	Prof.T.V.K.BhanuPrakash 9440973800	Marine Living Resources
		College Development Council
		Principal office, College of Science & Technology
	Prof.V.Sridevi 9848961427	Civil Engineering, AUCE(A)
		Geo-Physics
		Geology
<b>K</b>		Hindi
		English
	Prof.P.Vasudeva Reddy 9885000274	Principal office, A.U.College of Engineering (A)
		Politics and Public Administration
	Dr. K. SitaManikyam 9440084024	Human Genetics
		Botany
		Commerce & Management studies
	Physical Education	
	Physical and Nuclear Chemistry	
	Inorganic & Analytical Chemistry	
<p><b>Prof.D.Lalitha Bhaskari</b>  <b>Dept.of Computer Science &amp; Systems Engineering &amp; Coordinator, IQAC</b></p>		

### **3. General Observations:**

1. The University has been recognized as category – 1 institute by MHRD, GoI
2. The University is accredited by NAAC with a CGPA of 3.60 in 2016 valid upto 7 years (cycle 3)
3. Progressive vision of the Top Management with a definite plan of action following a decentralised and participative management.
4. Qualified and committed faculty members with rich experience
5. Most of the departments are funded by government agencies like DST, DBT, AICTE, DRDO, TEQIP , NSTL and other funding agencies
6. The University is RUSA funded
7. The University is ISO 9001:2015 certified
8. Departments function in alignment with the University Quality policies
9. A total of 241 programs are offered among which UG Programmes-21; PG Programmes-114, Integrated programs – 5, Ph.D-57, M.Phil – 42, PG Diploma- 2
10. Faculty members - 538
11. 95% faculty members are with PhD
12. Being a reputed Government funded state university, the demand ratio is very high and student enrolment is always high.
13. A total of 11076 students are studying in the campus and 2836 outgoing students during 2019-2020 .
14. Total Research and Academic centres – 16

#### **Research Centres :**

- (1) Agro Economic Research Center
- (2) Dr. Durgabhai Deshmukh Centre for Women studies
- (3) Delta Studies Institute

(4) Population Research Centre

(5) Centre for Studies on Bay of Bengal

(6) Centre for study of Social Exclusion and Inclusive Policy(CSSEIP)

(7) Centre for Environment, Sustainable development and climate change(CESCC)

(8) NMR Research Centre

(9) Centre for Nano-Technology

► **Academic Centres :**

(10) The Japanese Information and study centre

(11) Prof.K.Satchidananda Murthy Centre for Religion studies

(12) Centre for Defense studies

(13) Centre for Gandhian Studies

(14) Centre for Cyber security and Data Analytics

(15) Dr.B.R.Ambedkar Study centre.

(16) Alluri Seeta Ramraju History and tribal studies

15. JRFs, SRFs, Post Doctoral Fellows, Research Associates and other research

fellows enrolled in the institution : 380

**4. NCRs:**

The Non conformities (NCRs) of all the departments are attached here



**ANDHRA UNIVERSITY**

**AUDIT NON-CONFORMITY  
REPORT**

**VISAKHAPATNAM  
ANDHRA PRADESH**



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/TM1

Dept./Function : <u>Top Management VC office</u>	Responsibility : <u>audit at TM office</u>
Audit No. :	Date of Audit : <u>21/09/2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
Auditor Name : <u>Prof. Lalitha Bhesani</u>	Signature & Date : <u>[Signature]</u> <u>21/09/2020</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>NIL</u>
Root Cause Analysis :	<u>NIL</u>
Proposed Corrective Action :	<u>—</u>
Proposed Completion Date :	<u>—</u>
Auditee Name : <u>Prof. P.V. G.D. Prasad Reddy VC</u>	Signature & Date : <u>[Signature]</u> <u>21/09/2020</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of next internal audit)</b>	
Status: Open / Closed.	Auditor Name : Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature Date:	





**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCRNo.: 2020/09/TM2

Dept./Function : <u>Top Management</u> <u>RECTOR'S office</u>	Responsibility : <u>Audit at TM office</u>
Audit No. :	Date of Audit : <u>21/9/2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
Auditor Name : <u>Prof G. Girija Shankar A.</u> <u>Prof D. Lalitha Bhaskari</u>	Signature & Date : <u>[Signature]</u> <u>21/09/2020</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>NIL</u>
Root Cause Analysis :	<u>NIL</u>
Proposed Corrective Action :	<u>-</u>
Proposed Completion Date :	<u>-</u>
Auditee Name : <u>Prof. S. S. S. S. S.</u> <u>Rector</u>	Signature & Date : <u>[Signature]</u> <u>21/9/2020</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : <u>WALTAIR</u> Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 2020/09/TM3

Dept./Function : <u>Top Management Registrar Office</u>	Responsibility : <u>audit at TM office</u>
Audit No. :	Date of Audit : <u>21/9/2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : <u>Prof. G. Vinja Shankar</u> <u>Prof. Kalithe Bhaskari</u>	Signature & Date : <u>[Signature]</u> <u>21/9/2020</u> <u>[Signature]</u> <u>21/09/2020</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>NIL</u>
Root Cause Analysis :	<u>NIL</u>
Proposed Corrective Action :	<u>—</u>
Proposed Completion Date :	<u>—</u>
Auditee Name : <u>Prof. G. V. Ravindranadh Balm</u> <u>[Signature]</u>	Signature & Date : <u>G. V. Ravindranadh Balm</u> <u>21/09/2020</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <u>Open</u> / Closed.	REGISTRAR ANDHRA UNIVERSITY VISAKHAPATNAM-530 003
Auditor Name : <u>[Signature]</u> Signature & Date :	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/MRO

Dept./ Function : IQAC, AU	Responsibility :
Audit No. :	Date of Audit : 9/9/2020
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : Prof. K. Rama Sudha Prof. A. Ajinje Shankar	Signature & Date : [Signature] 9/9/2020
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	NIL
Root Cause Analysis :	NIL
Proposed Corrective Action :	NIL
Proposed Completion Date :	
Auditee Name : Co Ordinator IQAC	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : Prof. K. Rama Sudha Signature & Date : [Signature] 9/9/2020
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. : Prof. K. Rama Sudha	Prof. A. Ajinje Shankar
Date : [Signature] 9/9/2020	[Signature] 9/9/2020



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/PI

Dept./Function : PRINCIPAL OFFICE Responsibility : COLLEGE OF ARTS AND COMMERCE	
Audit No. :	Date of Audit : 08/09/2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	AUF-09, 12, 13, 14, 15, 17, 8, 38 to be updated
Auditor Name : Prof. G. Girija Sankar	Signature & Date : <i>G. G. Sankar</i> 08/09/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	The aforesaid documents shall be updated
Root Cause Analysis :	Owing to Covid-19 Pandemic certain gaps are not filled in the records.
Proposed Corrective Action :	To involve personnel of pertinent records to fill the gaps.
Proposed Completion Date :	10.09.2020
Auditee Name : Prof. Rajendra Karmakar	Signature & Date : <i>Rajendra Karmakar</i> PRINCIPAL
Verification of corrective actions (To be filled by Auditor at the time of next audit)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <i>G. Girija Sankar</i> 10/09/2020 Signature & Date : College of Arts & Commerce Andhra University Visakhapatnam
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
(G. GIRIJA SANKAR)	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

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**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D1

Dept./Function :	Anthropology	Responsibility :	
Audit No. :		Date of Audit :	5/9/2020.
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>			
ISO 9001 Clause :	Reference Document(s) :		
Nonconformity :	Prof. P.K. Radhakumar.		
Auditor Name :	Prof. G. Nagappa.	Signature & Date :	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>			
Proposed correction :			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date :	5/9/20.		
Auditee Name :	D. D. Ramelli	Signature & Date :	 Head Department of Anthropology Andhra University
Verification of corrective actions (To be filled by Auditor at the time of closure)	Prof. P.K. Radhakumar		
Status: Open / Closed.		Auditor Name :	Prof. G. Nagappa.
		Signature & Date :	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature	Prof. P.K. Radhakumar.		
Date:	Prof. G. Nagappa.		



# ANDHRA UNIVERSITY VISAKHAPATNAM

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## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/02

Dept./Function : Dept. of Commerce and Management Responsibility :

Audit No. : \_\_\_\_\_ Date of Audit : \_\_\_\_\_

### DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : \_\_\_\_\_ Reference Document(s) :

Non-conformity : 1. HOD signatures in Activity diary

Auditor Name : Prof. P. Vasundhara Reddy Signature & Date : 1. [Signature] 2. [Signature]  
Dr. K. SITA MANIYA

### CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : recommended to update the records

Root Cause Analysis : Due to lack of time

Proposed Corrective Action :

Proposed Completion Date : 21-9-2020

Auditee Name : \_\_\_\_\_ Signature & Date : \_\_\_\_\_

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.

Auditor Name : \_\_\_\_\_ Signature & Date : 1. [Signature] 2. [Signature]

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature  
Date:

1. [Signature] 2. [Signature]

[Signature]  
Head of the Department  
Department of Commerce and  
Management Studies  
Andhra University  
(Visakhapatnam)



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCRNo.: 2020/09/D3

Dept./Function : <u>Department of Economics</u>	Responsibility : <u>✓</u>
Audit No. : <u>4</u>	Date of Audit : <u>05.09.2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>AUF 18, 28, 33, 35, 08, 31, 38 to be updated.</u>	
Auditor Name : <u>Prof. Anuja Tigga</u>	Signature & Date : <u>Anuja Tigga 05.09.2020</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>It will be updated</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. M. Prasad Rao</u>	Signature & Date : <u>M. Prasad Rao 09/2020</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Prof. ANUJA TIGGA Anuja Tigga</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

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**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/04

Dept./Function : Department of Education	Responsibility :
Audit No. : 5	Date of Audit : 05.09.2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	No NCR.
Auditor Name : Prof. N. Kishore Babu	Signature & Date : Anuja Tigga 05.09.2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	NIL , Updated
Root Cause Analysis :	u
Proposed Corrective Action :	
Proposed Completion Date : 5/9/2020	
Auditee Name : Prof. B. R. ANGANATHY	Signature & Date : 5/9/2020
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : Signature & Date : Anuja Tigga
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature Date:	





**ANDHRA UNIVERSITY  
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**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/06

Dept./Function : FINE ARTS AU	Responsibility :
Audit No. :	Date of Audit : 11/09/2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : AUF-18, 23, 26, 27, 28, 29, 30, 35, 36, 8, 31, 38, 39 to be updated	
Auditor Name : <i>Prof. Girinjalankar</i> <i>Prof. P. Yedukondala</i>	Signature & Date : <i>[Signature]</i> 11/09/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<i>The above documents to be updated</i>
Root Cause Analysis :	<i>Done Covid-19, pandemic</i>
Proposed Corrective Action :	<i>The above documents will be updated</i>
Proposed Completion Date :	<i>by 14-9-2020</i>
Auditee Name : <i>D. Sambachapam</i>	Signature & Date : <i>[Signature]</i> 11/9/2020 <i>Head of the Dept. of Fine Arts and Computer Art</i>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature Date:	



ANDHRA UNIVERSITY  
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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.:

Dept./ Function : <i>Dept. of Hindi</i>	Responsibility :
Audit No. :	Date of Audit : <i>03.09.2020</i>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	
Auditor Name : <i>Prof. T. V. K. Bhannuprakash</i> <i>Prof. V. Sridhar</i>	Signature & Date : <i>[Signature]</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <i>Head of the Dept.</i>	<i>[Signature]</i> HEAD OF THE DEPARTMENT DEPARTMENT OF HINDI ANDHRA UNIVERSITY VISAKHAPATNAM 530003
Verification of corrective actions (To be filled by Auditor at time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	




ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/07

Dept./ Function : <u>Andhra Dept of English</u> Responsibility :	
Audit No. :	Date of Audit : <u>02-09-2020</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>1 TVK Blomprakan ML</u>	
Auditor Name : <u>S. V. Sriden. Ceo.</u>	Signature & Date : <u>02-09-2020</u>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name :	Signature & Date :
 <b>D PEDAJU</b> Head of the Department Department of English Andhra University Visakhapatnam-535 003	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/58

Dept./Function : Dept. of History and Archeology Responsibility :  
Audit No. : Date of Audit : 11/9/2020

### DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity : - Nil -

Auditor Name :

1. [Prof V. GIRIJASASTRY] 2. Basavaiah  
(Prof. K. BASAVAI AH)

Signature & Date :

### CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : —

Root Cause Analysis : —

Proposed Corrective Action : —

Proposed Completion Date :

V. Dhuraj

Signature & Date :

V. Dhuraj 11/9/20

Auditee Name :

Verification of corrective actions (To be filled by Auditor at the time of closure)  
Department of History & Archeology  
Andhra University, Visakhapatnam

Status: Open / Closed.

Auditor Name :

Signature & Date :

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature

Date:

1. V. Girijasth  
(Prof V. GIRIJASASTRY)

2. Basavaiah  
(Prof. K. BASAVAI AH)

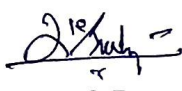


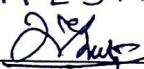
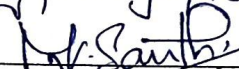


ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCRNo.: 2020/09/D9

Dept./Function : Dept. of Human Resources Management		Responsibility :	
Audit No. :		Date of Audit :	
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>			
<u>ISO 9001 Clause :</u>		<u>Reference Document(s) :</u>	
Nonconformity : None.			
Auditor Name : 1. Prof. N.V.E.S. Murthy		Signature & Date : 	
2. Prof. M.V. Santhi			
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>			
Proposed correction :			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date :			
Auditee Name : Pr. K. JOHN		Signature & Date :  19/09/2020	
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>			
Status: Open / Closed / None.		Auditor Name : N.V.E.S. Murthy, M. Vijaya Santhi	
		Signature & Date :  	
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>			
Auditor Name / Signature			
Date:			





ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D10

Dept./Function : Journalism and mass communication	Responsibility :
Audit No. :	Date of Audit : 7-9-2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : - Nil	
Auditor Name :	1. V. Girijis Sastri 2. Basavarajiah (Prof. K. BASAVAI AH)
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	_____
Root Cause Analysis :	_____
Proposed Corrective Action :	_____
Proposed Completion Date :	
Auditee Name :	Prof. Peeta BOBBY VARDHAN, Ph.D. Head of the Department Journalism & Mass Communication Andhra University Signature & Date : VISAKHAPATNAM-530 003
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature Date:	1. V. Girijis Sastri 2. Basavarajiah (Prof. K. BASAVAI AH)



ANDHRA UNIVERSITY  
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/19/D11

Dept./Function : Dept. of Library Science and information sciences  
Responsibility :  
Audit No. : Date of Audit : 11-9-2020

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity : - Nil -

Auditor Name : 1. V. Giridhary (Prof. V. GIRIDHARY) Signature & Date : 2. Beavari (Prof. K. BASAVARAJU)

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction :

Root Cause Analysis : \_\_\_\_\_

Proposed Corrective Action : \_\_\_\_\_

Proposed Completion Date : \_\_\_\_\_

Auditee Name :

Signature & Date : V. D. Dillalhu  
Dr. V. DHANA RAJU, Ph.D.

Verification of corrective actions (To be filled by Auditor head time of closure)

Dept. of Library and Information Science

Status: Open / Closed.

Auditor Name : Andhra University  
Signature & Date : Visakhapatnam-530 003

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name : Signature

Date:

1. V. Giridhary (Prof. V. GIRIDHARY)

2. Beavari (Prof. K. BASAVARAJU)

Note: All records are well maintained.



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/012

Dept./Function : <u>Department of Music</u>	Responsibility :
Audit No. : <u>3</u>	Date of Audit : <u>02.09.2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	<u>No Non conformity</u>
Auditor Name : <u>Prof. N. Kishore Babu</u>	Signature & Date : <u>[Signature]</u>
Auditor Name : <u>Prof. Anuja Tigga</u>	Signature & Date : <u>[Signature]</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>NIL</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>A. ANURADHA</u>	Signature & Date : <u>[Signature] 02/21/20</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / <input checked="" type="checkbox"/> Closed.	Auditor Name : <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Prof. ANUJA TIGGA</u>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	





ANDHRA UNIVERSITY  
VISA KHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D13

Dept./Function : <u>Philosophy</u>	Responsibility : -
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : -	
Auditor Name : <u>NVES Murthy</u> <u>M. Vijaya Santhi</u>	Signature & Date : <u>[Signature]</u> <u>4/7/20</u> <u>[Signature]</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>Not able to visit the department due to the Head being COVID-19 positive.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Dr. B. Ravibabu</u>	Signature & Date :
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature Date:	



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D14

Dept./Function : <u>Dept of Political Science and Public Administration</u>	Responsibility :
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	<u>AUF 18, AUF 28, 29, 30 are to be updated</u> <u>Separate AUF register to be maintained</u>
Auditor Name : <u>Dr. K. SITA MANI AM</u>	Signature & Date : <u>1. Gary 2. K92</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>Recommended to update : AUF 18, 28, 29, 30,</u>
Root Cause Analysis :	<u>Due to lack of time</u>
Proposed Corrective Action :	
Proposed Completion Date :	<u>22/9/2020</u>
Auditee Name :	Signature & Date : <u>Dr. P. Peteti Premanandam</u> <b>HEAD</b>
Verification of corrective actions (To be filled by Auditor at the time of internal audit)	<u>All records are updated</u>
Status: <u>Open</u> / Closed.	Auditor Name : <u>Prof. P. Vanadunem</u> Signature & Date : <u>22/9/2020</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	

1. Gary  
2. K92



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/015

Dept./Function : SOCIAL WORK	Responsibility :
Audit No. : A.U.	Date of Audit : 07/09/2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : AUF-09, 18, 20, 21, 23, 24, 28, 29, 30, 33, 35, 36, 39 to be updated	
Auditor Name : Prof. C. Girija Sankar	Signature & Date :  07/09/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	The above documents to be updated.
Root Cause Analysis :	Due to Covid-19 pandemic.
Proposed Corrective Action :	Upgrade the above documents
Proposed Completion Date :	10-9-2020
Auditee Name : Dr. S. Haranath	Signature & Date :  7/9/2020
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : Prof. C. Girija Sankar Signature & Date :  10/09/2020
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	

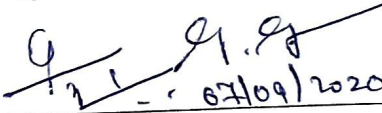
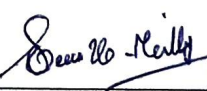

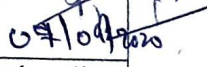


ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D16

Dept./Function : SOCIOLOGY, A.U. Responsibility :	
Audit No. :	Date of Audit : 07/09/2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : AUF-8, 24, 27, 28, 30, 35, 36, 38	(to be <sup>updated</sup> <del>filled</del> <del>repor</del> <del>r</del> )
Auditor Name : Pnt. G. Girija Samkar	Signature & Date :  07/09/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	going to <del>be</del> update all the above documents;
Root Cause Analysis :	owing to Covid-19. Pandemic
Proposed Corrective Action :	To fill up the gaps and update the <del>hard</del> records.
Proposed Completion Date :	09.09.2020
Auditee Name : Dr. V. Sreemanarayana Murthy	Signature & Date :  07/09/2020
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : Pnt. G. Girija Samkar  9/9/20
	Signature & Date : Pnt. P. Yadu Kondalana  07/09/2020
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	



**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

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**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/117

Dept./Function : <u>Department of Telugu</u>	Responsibility :
Audit No. :	Date of Audit : <u>10.09.2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NO NCR,</u>	
Auditor Name : <u>Prof. N. KISHORE BABU</u>	
Signature & Date : <u>Anuja Tigga</u>	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Prof. J. Appala Rao</u>	Signature & Date : <u>[Signature]</u>
Head of the Dept. of Telugu ANDHRA UNIVERSITY Visakhapatnam-530 003, A.P.	
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : <u>Prof. N. KISHORE BABU</u>
	Signature & Date : <u>Prof. ANUJA TIGGA</u>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/19/D18

Dept./Function : *Physical Education* Responsibility :

Audit No. : Date of Audit :

**DETAILS OF NONCONFORMAITY (To be filled by Auditor)**

ISO 9001 Clause : Reference Document(s) :

Non-conformity : AUF 18, AUF, 8, 31, 38, 39  
1. P. Vasudeva Reddy

Auditor Name : 2. K. Sita Manoj Kumar Signature & Date :

1. *[Signature]*  
2. *[Signature]*

**CORRECTIVE ACTION REPORT (To be filled by Auditee)**

Proposed correction : *Suggested to correct and update AUF 18, 8, 31, 38, 39*

Root Cause Analysis : *Due to lack of knowledge*

Proposed Corrective Action : *Correction*

Proposed Completion Date : *21-9-2020*

Auditee Name : Signature & Date :

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.

Auditor Name :

Signature & Date :

1. *[Signature]* 2. *[Signature]*

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature

Date:

1. *[Signature]*

2. *[Signature]*



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)


NCR No.: 2020/09/P2

Dept./ Function : <i>Principal, College of Science and Technology</i>	Responsibility :
Audit No. :	Date of Audit :
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>- NIL -</i>	
Auditor Name : <i>Prof. T.V.K. Bhanu prabha</i>	Signature & Date : <i>[Signature]</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name :	Signature & Date :
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	

*[Signature]*

Principal AU College of  
Science & Technology  
VISAKHAPATNAM

4.Verified online

	<b>ANDHRA UNIVERSITY VISAKHAPATNAM</b>
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AUF - 06


**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No: 2020/09/019

Dept. / Function: <b>APPLIED MATHEMATICS</b>	Responsibility:
Audit No :	Date of Audit: <b>03. 09.2020</b>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause:	Reference Document(s):
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>PKR</i>	
2. Dr. G. Naga Raja <i>Nagaraj</i>	Signature & Date
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: <b>Dr. P. VIJAYA LAXMI</b> Head of the Department <b>9989578075</b>	<i>Vijaya</i> 7/9/2020 Signature & Date
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>
	2. Dr. G. Naga Raja. <i>Nagaraj</i>
	Signature & Date
<b>Verification of effective corrective action (To be filled by Auditor during next internal audit)</b>	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>	
2. Dr. G. Naga Raja. <i>Nagaraj</i>	Signature & Date



1. Verified online

	<b>ANDHRA UNIVERSITY</b> <b>VISAKHAPATNAM</b>
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AUF - 06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No: 2020/09/020

Dept. / Function: <b>BIOCHEMISTRY</b>	Responsibility:
Audit No : _____	Date of Audit: <b>31. 08.2020</b>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause: _____	Reference Document(s): _____
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>P.K.R.K.</i> 2. Dr. G. Naga Raja <i>G.N.R.</i>	Signature & Date
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: <b>Dr. P. SUBHASHINI DEVI</b> Head of the Department <b>9490489675</b>	<i>S. Subhashini</i> <b>31/8/2020</b> Signature & Date <b>HEAD</b>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. Auditor Name: 1. Prof. P. K. Ratna Kumar. 2. Dr. G. Naga Raja.	<i>G.N.R.</i> Signature & Date
<b>Verification of effective corrective action (To be filled by Auditor during next internal audit)</b>	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>P.K.R.K.</i> 2. Dr. G. Naga Raja. <i>G.N.R.</i>	Signature & Date



ANDHRA UNIVERSITY  
VISA KHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D21

Dept./Function : Dept. of Biotechnology		Responsibility :	
Audit No. :		Date of Audit : 04/09/20.	
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>			
<u>ISO 9001 Clause :</u>		<u>Reference Document(s) :</u>	
Nonconformity :			
Auditor Name : 1. Prof. N.V.E.S. Murthy		Signature & Date : <i>NVES Murthy</i> 4/9/20.	
2. Prof. M.V. Santhi		Signature & Date : <i>M. Santhi</i> 4/9/20	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>			
Proposed correction : None.			
Root Cause Analysis : —			
Proposed Corrective Action : —			
Proposed Completion Date : —			
Auditee Name : V. Lakshmi		Signature & Date : <i>V. Lakshmi</i> 04/09/2020.	
Verification of corrective actions (To be filled by Auditor at the time of closure) <i>Not needed</i>			
Status: Open / Closed.		Auditor Name : 1. Prof. N.V.E.S. Murthy	
		Signature & Date : 2. Prof. M.V. Santhi	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
None.			
Auditor Name / Signature		M. Vijaya Santhi	
Date:		<i>M. Santhi</i>	



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2022/09/D22

Dept./Function : <u>Dept. of Botany</u> Responsibility :	
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Non-conformity : <u>All records are updated.</u>	
Auditor Name : <u>Prof. P. Vasudha Reddy</u>	Signature & Date : <u>1. Gary 2. KSM</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	—
Root Cause Analysis :	—
Proposed Corrective Action :	—
Proposed Completion Date :	—
Auditee Name : <u>Prof. Sujatha</u>	Signature & Date : <u>Sujatha</u> <b>HEAD</b>
Department of Botany Andhra University Visakhapatnam-530 003	
Status: <u>Open</u> / Closed.	Auditor Name : <u>1. Gary 2. KSM</u> Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	<u>1. Gary 2. KSM</u>



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No. 2020/09/ D23

Dept / Function	Environmental Science	Responsibility	Internal Audit
Audit No		Date of Audit	8/9/2020
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause :	Reference Document(s) :		
Nonconformity :	Nil		
Auditor Name	Prof. P. Senthil	Signature & Date	P. Senthil 8/9/20
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction	—		
Root Cause Analysis	—		
Proposed Corrective Action	—		
Proposed Completion Date	—		
Auditee Name	Prof. A.J. Sanyal Raju	Signature & Date	[Signature] 8/9/20
Verification of corrective actions	To be filled by Auditor at the time of close		
Status	Open / Closed ✓	Auditor Name	P. Senthil
Signature & Date			
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)			
Auditor Name / Sign			
Date			

Head of the Department  
Dept. of Environmental Science  
Andhra University  
VISAKHAPATNAM-530008



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/024

Dept / Function : <i>Geography</i>	Responsibility :
Audit No. :	Date of Audit : <i>7/9/2020</i>
<b>DETAILS OF NONCONFORMITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>None</i>	
Auditor Name : <i>Prof. P. Senthil</i>	Signature & Date : <i>P. Senthil</i>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<i>Nil</i>
Root Cause Analysis :	<i>Nil</i>
Proposed Corrective Action :	<i>Nil</i>
Proposed Completion Date:	
Auditee Name : <i>Prof. Anuja Tigga</i>	Signature & Date : <i>Anuja Tigga</i> . 07.09.2020.
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <i>P. Senthil</i> Signature & Date : <i>P. Senthil</i>
<b>Verification of effectiveness of corrective action</b> (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	
Date :	

**Head**  
Department of Geography  
Andhra University  
Visakhapatnam-530003




ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D25

Dept./ Function : <u>GEOPHYSICS Dept</u>	Responsibility :
Audit No. :	Date of Audit : <u>02-09-2020</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>1- T/M Re-manufacture</u>	
Auditor Name : <u>V. Sridhar</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : <u>HOD, Geophysics</u>	Signature & Date : <u>Sobesun</u> <u>4/9/2020</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	

Head, Department of Geophysics  
Andhra University  
VISAKHAPATNAM



ANDHRA UNIVERSITY  
VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D25

Dept./ Function : <u>GEOPHYSICS Dept</u>	Responsibility :
Audit No :	Date of Audit : <u>02-09-2020</u>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>1- T.M. Rekambratka</u> — <u>[Signature]</u>	
Auditor Name : <u>[Signature]</u>	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : <u>HOD, Geophysics</u>	Signature & Date : <u>[Signature]</u> <u>4/9/2020</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Head, Department of Geophysics Andhra University VISAKHAPATNAM	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D26

Dept./ Function : <i>Geology Dept</i>	Responsibility :
Audit No. :	Date of Audit : <i>02-09-2020</i>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>1 TVK Blam Purash</i>	
Auditor Name : <i>2 V. Sridevi</i>	
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	 Head of the Department DEPARTMENT OF GEOLOGY Andhra University Visakhapatnam 530003
Auditee Name :	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name :
	Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	
Date :	





# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/19/027

Dept./Function : <i>Dept. of Inorganic &amp; Analytical Chemistry</i> Responsibility :	
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Non-conformity :	<i>: to be updated AUF 22, AUF-20</i>
Auditor Name : <i>P. Vasudeva Reddy</i> Signature & Date :	<i>P. Vasudeva Reddy</i> 17/9/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<i>Signatures of HOD, Research scholars attend registers to be updated</i>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <i>Dr. B. B. V. Sarilaja</i> Signature & Date :	<i>Dr. B. B. V. Sarilaja</i> 17/9/2020
Verification of corrective actions (To be filled by Auditor at the time of closure)	<b>HEAD OF THE DEPARTMENT</b> <small>Department of Inorganic &amp; Analytical Chemistry College of Science &amp; Technology Andhra University Visakhapatnam</small>
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.	Auditor Name : <i>P. Vasudeva Reddy</i> Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<i>P. Vasudeva Reddy</i>
Date:	<i>17/9/2020</i>



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D28

Dept./Function : <i>Dept. of Human Genetics</i>		Responsibility : <i>Head</i>	
Audit No. :		Date of Audit : <i>19/9/2020</i>	
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>			
<b>ISO 9001 Clause :</b>		<b>Reference Document(s) :</b>	
Non-conformity : <i>All records are updated.</i>			
Auditor Name : <i>Prof. P. Vasudera Reddy</i> <i>Dr. K. SITA MANIYAN</i>		Signature & Date : <i>1. Gary 2. KSB</i>	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>			
Proposed correction : <i>-</i>			
Root Cause Analysis : <i>-</i>			
Proposed Corrective Action : <i>-</i>			
Proposed Completion Date : <i>-</i>			
Auditee Name : <i>V. LAKSHMI</i>		Signature & Date : <i>V. Lakshmi</i> <i>19/09/2020</i>	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed.		Auditor Name : <i>1. Gary</i> Signature & Date : <i>2. K. Sitamanyu</i>	
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)			
Auditor Name / Signature		<i>1. Gary</i>	
Date:		<i>2. K. Sitamanyu</i>	

**Head of the Department**  
**Dept. of Human Genetics**  
**Andhra University**  
**Visakhapatnam-530 003**  
*K. Sitamanyu*



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VISAKHAPATNAM**


AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D29

Dept./Function : M.L.R.	Responsibility :
Audit No. : 1.	Date of Audit : 01.09.2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : No Nonconformity is noticed.	
Auditor Name : <u>Prof. N. KISHORE BABU</u> <u>Prof. ANUJA TIJALA</u> Signature & Date : <u>Nbq</u> <u>Anuja Tijala</u> 01.09.20	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	No need of any correction.
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	<u>P. J. — h.</u>
Auditee Name : <u>Dr. P. Janakiram</u>	Signature & Date : <u>Head</u> <u>Dept. of Marine Living Resource</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>Nbq</u> Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	

5.Verified online

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AUF - 06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No: 2020/09/D30

Dept. / Function: MATHEMATICS	Responsibility:
Audit No :	Date of Audit: 03. 09.2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause:</u>	<u>Reference Document(s):</u>
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>PKR</i>	
2. Dr. G. Naga Raja <i>Naguj</i>	Signature & Date
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: Dr. G. NANAJI RAO Head of the Department 9440367745	<i>G. Nanaji</i> Signature & Date
<b>Verification of corrective actions</b> (To be filled by Auditor at the time of closure)	<b>Department of Mathematics Andhra University Visakhapatnam-530003</b>
Status: Open / Closed. Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>	
2. Dr. G. Naga Raja. <i>Naguj</i>	Signature & Date
<b>Verification of effective corrective action</b> (To be filled by Auditor during next internal audit)	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>	
2. Dr. G. Naga Raja. <i>Naguj</i>	Signature & Date



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 2020/09/D31

Dept./Function : <u>Meteorology and Oceanography</u>	Responsibility :
Audit No. :	Date of Audit : <u>8-9-2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>- Nil -</u>	
Auditor Name :	Signature & Date : <u>(Prof. V. GIRIDHARSTRY) 2. Basavan (Prof. K. BASAVANNAH)</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>—</u>
Root Cause Analysis :	<u>—</u>
Proposed Corrective Action :	<u>—</u>
Proposed Completion Date :	
Auditee Name : <u>x PAB Naider</u>	Signature & Date : <u>x (Signature) Head</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of re-audit)</b>	
Status: Open / Closed.	Auditor Name : <b>Department of Meteorology and Oceanography ANDHRA UNIVERSITY VISAKHAPATNAM-530 003</b>
	Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	<u>1. V. Giridharstry 2. Basavan</u>
Date:	<u>(Prof. V. GIRIDHARSTRY) (Prof. K. BASAVANNAH)</u>

2.

Verified online



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No: 2022/09/032

Dept. / Function: MICROBIOLOGY	Responsibility:
Audit No :	Date of Audit: 31. 08.2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause:	Reference Document(s):
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>PKR</i>	
2. Dr. G. Naga Raja <i>Nagaraj</i>	Signature & Date
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: Dr. V. LAKSHMI Head of the Department 9490133021	<i>V. Lakshmi</i> HEAD DEPARTMENT OF MICROBIOLOG COLLEGE OF SCIENCE & TECHNOLOG ANDHRA UNIVERSITY VISAKHAPATNAM - 530 003 Signature & Date
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>
	2. Dr. G. Naga Raja. <i>Nagaraj</i>
	Signature & Date
<b>Verification of effective corrective action (To be filled by Auditor during next internal audit)</b>	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>	
2. Dr. G. Naga Raja. <i>Nagaraj</i>	Signature & Date

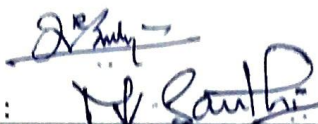





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**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D33

Dept./Function : Nuclear Physics. Responsibility :	
Audit No. :	Date of Audit : 8th Sept 2020.
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : None.	
Auditor Name : NIVES Murthy M Vijaya Sankhi	Signature & Date : 
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : None.	
Root Cause Analysis :	
Proposed Corrective Action :	 08.09.2020
Proposed Completion Date :	<b>HEAD</b> <b>Department of Nuclear Physics</b> <b>Andhra University</b> <b>Visakhapatnam-530003</b>
Auditee Name : Dr. P. V. Lakshmi Narayana	Signature & Date : 
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed / None	Auditor Name : NIVES Murthy M Vijaya Sankhi Signature & Date : 
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	



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AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No. 2020/09/034

Dept of Organic Chemistry  
Dr. V. Siddaiah, HOD  
Date of Audit : 8-9-2020

DETAILS OF NONCONFORMITY (To be filled by Auditor)

Clause Reference Document(s) :  
Nonconformity :  
Dr. K. Srinivas Rao  
Dr. K.T. Balaram Prasad  
Signature & Date : [Signature] 7/9/20

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : - Nil -  
Root Cause Analysis : ✓  
Proposed Corrective Action : ✓  
Completion Date :  
Auditee Name : Dr. V. Siddaiah, HOD  
Signature & Date : V. Siddaiah, 7/9/2020

Verification of corrective actions (To be filled by Auditor)  
N/A  
HEAD OF THE DEPT. OF ORGANIC CHEMISTRY, FOODS, DRUGS & WATER  
ANDHRA UNIVERSITY, WALT AIR  
VISAKHAPATNAM-530 003

Status (Open / Closed)  
Auditor Name : Dr. K. Srinivas Rao - [Signature]  
Signature & Date : Dr. K.T. Balaram Prasad - [Signature]

Verification of effectiveness of corrective action  
(To be filled by auditor during next internal audit)

[Handwritten signature]





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VISAKHAPATNAM

AU/20

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No: 2020/09/D34

Dept of Organic Chemistry  
 Dr. V. Siddaiah, HOD  
 Date of Audit : 8-9-2020

DETAILS OF NONCONFORMITY (To be filled by Auditor)

Clause: Reference Document(s):  
 Nonconformity:  
 Dr. K. Srinivasa Rao  
 Dr. K.T. Balaram Paddi  
 Signature & Date: 7/9/20

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction: - Nil -  
 Root Cause Analysis: -  
 Proposed Corrective Action: -  
 Date of Completion: 7/9/2020  
 Name: Dr. V. Siddaiah, HOD  
 Signature & Date: V. Siddaiah, 7/9/2020

Verification of corrective actions (To be filled by Auditor)  
 HEAD OF THE DEPT. OF ORGANIC CHEMISTRY, FOODS DRUGS & WATER  
 ANDHRA UNIVERSITY, WALT AIR  
 VISAKHAPATNAM-530 003

Status: Open / Closed  
 Auditor Name: Dr. K. Srinivasa Rao - H. W.  
 Signature & Date: Dr. K.T. Balaram Paddi - [Signature]

Verification of effectiveness of corrective action  
 (To be filled by auditor during next internal audit)

H. W.  
 [Signature]



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D35

Dept./Function : <i>Chemistry</i> <i>Dept. of Physical and Nuclear Chemistry</i>	Responsibility :
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Non-conformity : <i>AUF-8, 31, 38, 39</i>	
Auditor Name : <i>1. P. VASUDEVARAO</i> <i>2. K. SITHANANDHARAO</i>	Signature & Date : <i>1. Gerry 2. K. Sitanandharao</i>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<i>Recommended to update AUF-8, 31, 38, 39</i>
Root Cause Analysis :	<i>Lack of knowledge</i>
Proposed Corrective Action :	<i>Carried on</i>
Proposed Completion Date :	<i>21-9-2020</i>
Auditee Name :	Signature & Date :
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : <i>1. Gerry 2. K. Sitanandharao</i> Signature & Date : <i>8/9/2020</i>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
<i>AUF-8, 31, 38, 39 are up dated</i>	
Auditor Name / Signature	<i>1. Gerry</i>
Date: <i>22/09/2020</i>	<i>2. K. Sitanandharao</i>



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 2020/09/D36

Dept./Function : Dept of Physics Responsibility :

Audit No. : Date of Audit : 14-09-20.

### DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity : None

Auditor Name : 1. Prof. N.V.E.S. Murthy 2. Prof. M.V. Sauti Signature & Date : M. Sauti 14/9/20.

### CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : -

Root Cause Analysis : -

Proposed Corrective Action : -

Proposed Completion Date : -

Auditee Name : D.B. VENKATADAI Signature & Date : D.B. Venkatadai 14/9/20



### Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed / None Auditor Name : N.V.E.S. Murthy M. Vijay Sauti Signature & Date : M. Sauti

### Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature  
Date:

6.Verified online

	<b>ANDHRA UNIVERSITY VISAKHAPATNAM</b>
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AUF - 06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No: 2020/09/D37

Dept. / Function: <b>STATISTICS</b>	Responsibility:
Audit No :	Date of Audit: <b>03. 09.2020</b>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause:	Reference Document(s):
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>PKR</i> 2. Dr. G. Naga Raja <i>Nag</i>	Signature & Date
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: <b>Prof. B. MUNISWAMY</b> Head of the Department <b>9492532800</b>	<i>B. Muniswamy</i> Signature & Date
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name: 1. Prof. P. K. Ratna Kumar. 2. Dr. G. Naga Raja.
	<i>Nag</i> Signature & Date
<b>Verification of effective corrective action (To be filled by Auditor during next internal audit)</b>	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i> 2. Dr. G. Naga Raja. <i>Nag</i>	Signature & Date

**HEAD**  
Department of Statistics  
Andhra University  
Visakhapatnam-530007  
Andhra Pradesh, India



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AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/038

Dept./Function : <u>Zoology</u>	Responsibility :
Audit No. :	Date of Audit : <u>07.09.2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	<u>NO NCR</u>
Auditor Name : <u>Prof. N. KISHORE BABU</u> <u>Prof. Anuja Tigga</u>	Signature & Date : <u>N. Kishore Babu</u> <u>Anuja Tigga</u> <u>07.09.2020</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>AUF 26, AUF 27 &amp; AUF 154 will be updated</u>
Root Cause Analysis :	
Proposed Corrective Action :	<u>M. S. S. S.</u> <b>HEAD</b> Department of Zoology Andhra University Visakhapatnam
Proposed Completion Date : <u>7.9.2020</u>	
Auditee Name : <u>C. Manjula Devi</u>	Signature & Date : <u>M. S. S. S.</u> <u>7/9/2020</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : <u>Prof. N. KISHORE BABU</u> <u>N. Kishore Babu</u> Signature & Date : <u>Prof. ANUJA TIGGA</u> <u>Anuja Tigga</u>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D35

Dept / Function : <b>Dept of Psychology</b>	Responsibility : <b>Prof. M.V.R. Raju H.O.D</b>
Audit No. :	Date of Audit : <b>15-8-20</b>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <b>- Nil</b>	<b>1. Prof. K.T.B. Reddy - (Bachchan)</b> <b>15/9/20</b>
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	<b>- NIL</b>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <b>Prof. M.V.R. Raju H.O.D.</b>	Signature & Date : <b>[Signature]</b>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status. Open / Closed.	<b>- NIL -</b>
Auditor Name	<b>Prof. K.T.B. Reddy - [Signature]</b>
Signature & Date	<b>15/9/20</b>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign.	<b>Prof. K</b>
Date :	<b>Prof. K.T.B. Reddy</b>

**[Signature]**  
**15/9/20**



**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/19/P3

Dept./Function : <u>Principals of the</u> Responsibility :	
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<b>ISO 9001 Clause :</b>	<b>Reference Document(s) :</b>
Non-conformity : <u>Separate Registers for AUF-16 and AUF-17 to be maintained.</u>	
Auditor Name : <u>K. Raghunadhara Rao</u>	Signature & Date : <u>1. Gady 2. KRS</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>Suggested to maintain separate records for AUF-16, AUF-17.</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>K. Raghunadhara Rao</u>	Signature & Date : <u>[Signature] 21/9/2020</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : <u>1. Gady 2. KRS</u> Signature & Date :
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	<u>1. Gady</u>
Date:	<u>2. KRS</u>

ASSISTANT REGISTRAR  
V. College of Engg. (A)  
Visakhapatnam - 530 003



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/039

Dept / Function : Dept of Architecture ALCE		Responsibility : Prof. G. Viswanada Rao HOD	
Audit No. 102		Date of Audit : 04-09-2020	
DETAILS OF NONCONFORMITY (To be filled by Auditor)			
ISO 9001 Clause :		Reference Document(s) :	
Nonconformity :			
Auditor Name : Prof. K. Srinivasa Rao		Signature & Date : [Signature] 4/9/2020	
CORRECTIVE ACTION REPORT (To be filled by Auditee)			
Proposed correction : - NCL -			
Root Cause Analysis :			
Proposed Corrective Action :			
Proposed Completion Date :			
Auditee Name : Prof. G. Viswanada Rao HOD		Signature & Date : [Signature] 4/9/2020	
Verification of corrective actions (To be filled by Auditor at the time of closure)			
Status: Open / Closed.		Auditor Name : Prof. K. Srinivasa Rao - [Signature] Prof. K. T. B. Padal - [Signature] Signature & Date : 4/9/20	
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit) - MC			
Auditor Name / Sign. : Prof. K. Srinivasa Rao		[Signature]	
Date : 4/9/2020		Prof. K. T. B. Padal - [Signature] 4/9/20	

Head of the Department  
Department of Architecture  
College of Engineering  
Andhra University, Visakhapatnam






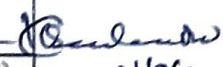




**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/040

Dept/Function :	Dept of Chemical Engineering	
Audit No. :	Responsibility :	Prof. P. Rajendra Prasad HOD
Date of Audit :		21-9-2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>		
ISO 9001 Clause :	Reference Document(s) :	
Nonconformity :	- NIL -	
Auditor Name :	Prof. K. Srinivasa Rao	Signature & Date :  21/9/20
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>		
Proposed correction :	- NIL -	
Root Cause Analysis :		
Proposed Corrective Action :		
Proposed Completion Date :	21/9/20	
Auditee Name :	Prof. P. Rajendra Prasad	Signature & Date :  21/9/20 Head of the Department Department of Chemical Engineering, U College of Engineering (A) Visakhapatnam
Verification of corrective actions (To be filled by Auditor at the time of closure)		
Status: Open / Closed.	Auditor Name :	Prof. K. Srinivasa Rao 
	Signature & Date :	Prof. K. T. Balaram Padal -  21/9/20
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)		
Auditor Name / Signature	Prof. K. Srinivasa Rao 	
Date:	Prof. K. T. Balaram Padal -  21/9/20	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D40

Dept./Function : Dept of Chemical Engineering	Responsibility : Prof. P. Rajendra Prasad HOD
Audit No. :	Date of Audit : 21-9-2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity :	- NIL -
Auditor Name : Prof. K. Srinivasa Rao	Signature & Date : [Signature] 21/9/20
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	- NIL -
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Prof. P. Rajendra Prasad	Signature & Date : [Signature] 21/9/20 Head of the Department Department of Chemical Engineering, AU College of Engineering (A) Visakhapatnam
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Prof. K. Srinivasa Rao Signature & Date : Prof. K. T. Balaram Padal - [Signature] 21/9/20
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	Prof. K. Srinivasa Rao [Signature]
Date:	Prof. K. T. Balaram Padal - [Signature] 24/9/20




ANDHRA UNIVERSITY  
VISA KHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/042

Dept./Function : <u>Civil Engg.</u>	Responsibility :
Audit No. :	Date of Audit : <u>03.09.2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : 1. 	<u>Prj TVK Pshamprabha</u> <u>Prj V. Sridhar</u>
Auditor Name : <u>[Signature]</u>	Signature & Date :
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : <u>Head of the Dept of Civil</u>	Signature & Date : <u>[Signature]</u> <u>3/9/2020</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	Department of Civil Engineering, College of Engineering (A), Andhra University, Visakhapatnam.
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature Date:	



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/041

Dept./Function : Dept of Computer Science & Systems Engineering	Responsibility : Prof. KUDA. NAGESWARA RAO HOD
Audit No. :	Date of Audit :
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : NIL -	
Auditor Name : Prof. K. Srinivasa Rao	Signature & Date :  22/9/20
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	- NIL -
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Prof. KUDA NAGESWARA RAO HOD	Signature & Date :  22.9.2020
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Prof. K. Srinivasa Rao Signature & Date : Prof. K.T. Balaram Paddel -  22/9/20
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
NIL	
Auditor Name / Signature	Prof. K. Srinivasa Rao
Date:	Prof. K.T. Balaram Paddel -  22/9/20



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF - 06

## AUDIT NON-CONFIRMANCE REPORT (NCR)

NCR No: 2020/09/542

Dept./ Function: <b>Electrical Engrg. AUEC, AU.</b>	Responsibility:
Audit No.	Date of Audit: <b>3/9/20</b>
DETAILS OF NONCONFORMITY ( To be filled by Auditor)	
ISO 9001 Clause:	Reference Documents(s):
Nonconformity: <b>None.</b>	
Auditor Name:	Signature & Date: <b>[Signature] N. Santhi</b>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction: <b>None</b>	
Root Cause Analysis:	
Proposed Corrective Action:	
Proposed Completion Date:	
Auditee Name: <b>[Signature]</b>	Signature & Date: <b>[Signature] N. Santhi</b>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status Open / Closed / <b>Complete</b>	Auditor Name: <b>NVS Murthy</b> Signature & Date: <b>M. Vijaya Santhi N. Santhi</b>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. <b>[Signature]</b>	
Date:	

Head of the Department  
 Dept. of Electrical Engineering  
 A.U. College of Engineering (A)  
 Andhra University  
 Visakhapatnam-530 003. A.P.



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/043

Dept./Function : E.C.E (A) A.U. Responsibility :	
Audit No. :	Date of Audit : 10/09/2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : AUF-18, 19, 26, 27, 28, 29, 30, 35, 36, 38, 8 to be updated	
Auditor Name : Prof. G. Girija Sankar	Signature & Date : [Signature] 10/09/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	The above documents to be updated
Root Cause Analysis :	due to Covid pandemic
Proposed Corrective Action :	The above documents will be updated
Proposed Completion Date :	11/9/20
Auditee Name : Prof. P. Rajesh Kumar	Signature & Date : [Signature] 10/9/20
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	verified
Auditor Name :	[Signature] 11/09/2020
Signature & Date :	[Signature] 11/09/2020
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
(G. GIRIJASANKAR) (P. YODUKONDALAKH) 11/09/2020	
Auditor Name / Signature	
Date:	

**Head of the Department**  
**Dept. of Electronics & Commn. Engg.**  
**A.U. College of Engg. (A)**  
**Andhra University**  
**Visakhapatnam-530 003**



# ANDHRA UNIVERSITY VISA KHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D44

Dept./Function : <u>Dept of Geo-Eng.</u>	Responsibility :
Audit No. :	Date of Audit : <u>09/09/2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <u>None</u>	
Auditor Name : <u>1. Prof. N.V.E.S. Murthy</u> <u>2. Prof. M.V. Jaya Santhi</u> Signature & Date : <u>[Signatures]</u>	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : <u>None</u>	
Root Cause Analysis : <u>—</u>	
Proposed Corrective Action : <u>—</u>	
Proposed Completion Date : <u>—</u>	
Auditee Name : <u>Prof. P. Jagadeeswarar</u> Signature & Date : <u>[Signature]</u> <u>9/9/2020</u>	
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed / <u>None</u>	Auditor Name : <u>1. Prof. N.V.E.S. Murthy (A)</u> <u>2. Prof. M.V. Jaya Santhi</u> Signature & Date : <u>[Signatures]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	
Date:	



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D45

Dept./Function : <u>Dept. Instrument Technology</u>	Responsibility :
Audit No. :	Date of Audit : <u>10-9-2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Nil</u>	
Auditor Name :	Signature & Date : <u>[Prof. V. Girijis Sekh]</u> <u>[Prof. K. Basavarajh]</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>AUF 35, 36, 8, 31, 38, 39 are incomplete.</u>
Root Cause Analysis :	<u>No technical staff</u>
Proposed Corrective Action :	<u>15-9-2020</u>
Proposed Completion Date :	<u>15-9-2020</u>
Auditee Name : <u>Prof. Y. Srinivas Rao</u>	Signature & Date : <u>Srinivas Rao</u> <u>10/09/2020</u>
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>[Prof. V. Girijis Sekh]</u> <u>[Prof. K. Basavarajh]</u> Signature & Date : <u>13/9/2020</u> <u>[Prof. K. Basavarajh]</u>
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	<u>[Prof. V. Girijis Sekh]</u> <u>[Prof. K. Basavarajh]</u>
Date:	



## 3.Verified online



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF - 06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No: 2020/09/D46

Dept. / Function: <b>MARINE ENGINEERING</b>	Responsibility:
Audit No : --	Date of Audit: 31. 08.2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause:</u>	<u>Reference Document(s):</u>
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>PKR</i>	Signature & Date
2. Dr. G. Naga Raja <i>Naguj</i>	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: <b>Prof. V. V. SIVA PRASAD</b> Head of the Department 9440413844	<i>[Signature]</i> Head of the Department Department of Marine Engineering Signature & Date Andhra University Visakhapatnam-530003
<b>Verification of corrective actions</b> (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>
	2. Dr. G. Naga Raja.
	Signature & Date
<b>Verification of effective corrective action</b> (To be filled by Auditor during next internal audit)	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>PKR</i>	
2. Dr. G. Naga Raja. <i>Naguj</i>	Signature & Date




**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/ D47

Dept./Function : <u>Mechanical</u>	Responsibility :
Audit No. : <u>Empmery (PA)</u>	Date of Audit : <u>8/9/2020.</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity :	
<u>1. Prof. P.K. Radhakumar</u> Auditor Name : <u>2. Prof. G. Nagaraj</u> Signature & Date : <u>Plenty - 8/9/20.</u>	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	 <b>HEAD OF THE DEPARTMENT OF MECHANICAL ENGINEERING ANDHRA UNIVERSITY</b>
Auditee Name : <u>Prof. K. Venkoda</u>	Signature & Date : <u>Subbasal</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : <u>1. Prof. P.K. Radhakumar</u> Signature & Date : <u>Prof. G. Nagaraj</u>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
<u>1. Prof. P.K. Radhakumar</u> Auditor Name / Signature : <u>2. Prof. G. Nagaraj</u>	
Date:	<u>Plenty</u>



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/D48

Dept / Function : Dept of Mechanical Engg (Uo)	Responsibility : Prof. J. Babu Rao HOD
Audit No. :	Date of Audit : 5-9-2020
<b>DETAILS OF NONCONFORMITY (To be filled by Auditor)</b>	
<b>ISO 9001 Clause :</b>	<b>Reference Document(s) :</b>
<b>Nonconformity :</b> Prof. K. Srinivasa Rao - H. A.	
Auditor Name : Prof. K.T. B. Padu	Signature & Date : (Balasubrah) 5/9/20
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : - NIL -	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : Prof. J. Babu Rao	Signature & Date : (Prof. J. Babu Rao) 5/9/2020 Head of the Department of Metallurgical Engineering
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : Prof. K. Srinivasa Rao - H. A. Signature & Date : Prof. K.T. B. Padu - (Balasubrah)
<b>Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)</b>	
Auditor Name / Sign.	
Date :	

Prof. K. Srinivasa Rao - H. A.  
Prof. K.T. Balasubrah Padu - (Balasubrah)

7.Verified online



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF - 06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No: 2020/09/049

Dept. / Function: <b>ENGINEERING CHEMISTRY</b> Responsibility:	
Audit No : _____	Date of Audit: <b>04. 09.2020</b>
<b>DETAILS OF NONCONFORMAITY(To be filled by Auditor)</b>	
<u>ISO 9001 Clause:</u>	<u>Reference Document(s):</u>
Nonconformity : --	
Auditor Name : 1. Prof. P. K. Ratna Kumar <i>P.K.Ratna Kumar</i>	
2. Dr. G. Naga Raja <i>Naga Raja</i>	Signature & Date
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction : --	
Root Cause Analysis : --	
Proposed Corrective Action : --	
Proposed Completion Date : --	
Auditee Name: <b>Dr. S. PAUL DOUGLAS</b>	<i>S. Paul Douglas</i> Head of the Department Dept. of Engineering Chemistry College of Engineering (A) Andhra University Visakhapatnam-530003, A.P
Head of the Department	
9347098430	
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>P.K.Ratna Kumar</i>	
2. Dr. G. Naga Raja. <i>Naga Raja</i>	Signature & Date
<b>Verification of effective corrective action (To be filled by Auditor during next internal audit)</b>	
Auditor Name: 1. Prof. P. K. Ratna Kumar. <i>P.K.Ratna Kumar</i>	
2. Dr. G. Naga Raja. <i>Naga Raja</i>	Signature & Date



## ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

### AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D50

Dept./Function : Engineering Mathematics Responsibility : Auditor  
AUCE (K)

Audit No. : \_\_\_\_\_ Date of Audit : 29-08-2020

**DETAILS OF NONCONFORMAITY (To be filled by Auditor)**

ISO 9001 Clause : \_\_\_\_\_ Reference Document(s) :

Nonconformity : — No NCR —

Auditor Name : 1. M. Satya Anuradha Signature & Date : 1. M. Satya Adla 14/09/2020  
2. P. Suresh 2. P. Suresh

**CORRECTIVE ACTION REPORT (To be filled by Auditee)**

Proposed correction : — No NCR —

Root Cause Analysis : \_\_\_\_\_

Proposed Corrective Action : \_\_\_\_\_

Proposed Completion Date : \_\_\_\_\_

Auditee Name : Prof. Ch. Santki Sunda Raj Signature : \_\_\_\_\_  
**Head of the Department of  
Engineering Mathematics  
AVU College of Engineering (A)  
Visakhapatnam - 530 003**

Verification of corrective actions (To be filled by Auditee) : 1. M. Satya Anuradha 2. P. Suresh

Status: Open /  Closed. Auditor Name : M. Satya Adla  
Signature & Date : 14/09/2020

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature  
Date: \_\_\_\_\_



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/D58

Dept./Function : Engineering Mathematics Responsibility : Internal Auditor  
AVCE (A)

Audit No. : \_\_\_\_\_ Date of Audit : 29/08/2020

### DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : \_\_\_\_\_ Reference Document(s) : \_\_\_\_\_

Nonconformity : — No NCR —

Auditor Name : 1. M. Satya Anuradha  
2. P. Senthil

Signature & Date : 1. M. Satya Anuradha 14/09/2020  
2. P. Senthil

### CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : \_\_\_\_\_

Root Cause Analysis : \_\_\_\_\_

Proposed Corrective Action : \_\_\_\_\_

Proposed Completion Date : \_\_\_\_\_

Auditee Name : Prof. Y. Rama Krishna

Signature & Date : T. Kamama

Verification of corrective actions (To be filled by Auditor at the time of closure)

Status: Open / Closed.  Open

Auditor Name

Signature & Date :

**HEAD**  
A.U College of Engineering (A)  
Andhra University  
Visakhapatnam - 530 003  
P. Senthil

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature

Date:



**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCRNo.: 2020/09/DS2

Dept./Function : <u>Dept. of Humanities and Social Sciences</u>	Responsibility :
Audit No. :	Date of Audit : <u>17-09-2020</u>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
ISO 9001 Clause : <u>- Nil -</u>	Reference Document(s) :
Nonconformity :	
Auditor Name : <u>Prof V. GIRIDHARASTRY (V-Giridhar SRS)</u>	Signature & Date : <u>[Signature]</u> Head Dept. of Humanities & Social Sciences Andhra University Visakhapatnam-530003
<b>CORRECTIVE ACTION REPORT (To be filled by Auditor)</b>	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name :	Signature & Date : <u>[Signature]</u>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/> Open	Auditor Name : <u>1. B. Saravali</u> Signature & Date : <u>2. V. Giridhar SRS</u>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature : <u>V. GIRIDHARASTRY</u>	1. <u>V. Giridhar SRS</u>
Date: <u>[Signature]</u>	2. <u>B. Saravali</u>



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/P4

Dept. Function : Principd Office Responsibility : Internal Auditor

Audit No. : AVCE(W) Date of Audit : 26/08/2020

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

Nonconformity :

No NCR

Auditor Name : 1. M. Satya Anurekha  
2. P. Suresh

Signature & Date : 1. M. Satya Anurekha 14/09/2020  
2. P. Suresh

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction :

No NCR

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :

Auditee Name : Prof. S.K. Bhatti

Signature & Date : S.K. Bhatti

Verification of corrective actions (To be filled by Auditor at the time of closure)

**ANDHRA UNIVERSITY**  
**College of Engineering for Women**  
**VISAKHAPATNAM-530 017**

Status: Open / Closed.

Auditor Name : 1. M. Satya Anurekha 2. P. Suresh

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

M. Satya Anurekha  
14/09/2020

Auditor Name - Signature  
Date:





ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/053

Dept./Function : CS & SE AUCE(W)	Responsibility :
Audit No. : 2	Date of Audit : 02.09.2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : NO NCR,	
Auditor Name : Prof. ANUJA TIJGA	Signature & Date : Anuja Tijga 02/09/20
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	NIL
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Prof. B. Dajuga	Signature & Date : B Dujuga 2/9/2020
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : Prof. N. Kishore Babu Signature & Date : Prof. N. Kishore Babu 02/09/2020
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature Date:	



**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/DS4

AUCEW

Dept./ Function : <u>Mechanical Engineering</u> Responsibility : <u>Prof. S.K. Bhatti</u>	
Audit No. : _____ Date of Audit : <u>01/09/2020</u>	
<b>DETAILS OF NONCONFORMITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>NIL</u>	
Auditor Name : <u>Prof. K. Srinivasa Rao</u> Signature & Date : <u>[Signature] 1/9/2020</u>	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>NIL</u>
Root Cause Analysis :	<u>-</u>
Proposed Corrective Action :	<u>-</u>
Proposed Completion Date:	
Auditee Name : <u>Prof. S.K. Bhatti</u>	Signature & Date : <u>[Signature] 1-09-2020</u>
<b>DR. S.K. BHATTI</b> PROFESSOR AND HEAD DEPARTMENT OF MECHANICAL ENGINEERING A.U. COLLEGE OF ENGINEERING FOR WOMEN VISAKHAPATNAM	
Verification of corrective actions (To be filled by Auditor at the time of closure)	<u>- NA -</u>
Status: Open / Closed.	Auditor Name : <u>Prof. K. Srinivasa Rao</u> Signature & Date : <u>Prof. K.T. Balaram Reddy</u> 1/9/2020
<b>Verification of effectiveness of corrective action</b> (to be filled by auditor during next internal audit)	
<u>- NA -</u>	
Auditor Name / Sign.	<u>Prof. K. Srinivasa Rao</u> - <u>[Signature]</u>
Date :	<u>Prof. K.T. Balaram Reddy</u> - <u>[Signature]</u> 1/9/2020



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCRNo.: 2020/09/DSS

Dept./Function : ECE, AUCEWB	Responsibility :
Audit No. :	Date of Audit : 05/09/2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : Nil	
Auditor Name : Prof. G. Girija Sekhar	Signature & Date : [Signature] 05/09/2020
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	
Root Cause Analysis :	- NIL -
Proposed Corrective Action :	
Proposed Completion Date :	
Auditee Name : Dr. S. ARUNA	Signature & Date : [Signature] 05/09/2020
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed. <input checked="" type="checkbox"/>	Auditor Name : Prof. G. Girija Sekhar
	Signature & Date : Prof. P. Yedunadala 05/09/2020
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
Auditor Name / Signature	
Date:	



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/DS6

Dept./Function : Electrical Engineering Responsibility : Internal Auditor

Audit No. : AUCE(w) Date of Audit : 26/08/2020

DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause : Reference Document(s) :

No NCR

Nonconformity :

Auditor Name : 1. M. Satya Anuradh 1. M. Satya Anuradh 14/9/2020  
2. P. Senthil Signature & Date : 2. P. Senthil

CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction : No NCR

Root Cause Analysis :

Proposed Corrective Action : I/c HOD of EEE

Proposed Completion Date : Senthi 14/09/2020

Auditee Name : Signature & Date :

Verification of corrective actions (To be filled by Auditor at the time of closure)  
1. M. Satya Anuradh 2. P. Senthil

Status: Open / Closed.  Auditor Name : M. Satya Anuradh  
Signature & Date : 14/09/2020

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

Auditor Name / Signature  
Date:



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/057

Dept./Function : Civil Department      Responsibility : Internal Auditor  
AVCE(W)

Audit No. :      Date of Audit : 26/08/2020

### DETAILS OF NONCONFORMAITY (To be filled by Auditor)

ISO 9001 Clause :      Reference Document(s) :

Nonconformity :

No NCR -

Auditor Name : 1. M. Satya Anwar

1- M. Satya Anwar 14/09/2020

Auditor Name : 2. P. Sreetha

Signature & Date : P. Sreetha

### CORRECTIVE ACTION REPORT (To be filled by Auditee)

Proposed correction :

No NCR -

Root Cause Analysis :

Proposed Corrective Action :

Proposed Completion Date :

Auditee Name : ~~P. S. K. Sreetha~~  
M. G. Sireesha

Signature & Date : Gireesha 26/8/2020

Verification of corrective actions (To be filled by Auditor at the time of Civil Engineering)

Head of the Department  
Dept. of Civil Engineering  
College of Engineering for Women  
Andhra University  
Visakhapatnam

Status: Open / Closed.  Open

Auditor Name :  
Signature & Date :

Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)

1. M. Satya Anwar  
M. Satya Anwar 14/09/2020  
2. P. Sreetha

Auditor Name / Signature  
Date:



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/0912DC1

Dept./ Function : <i>CDC office</i>	Responsibility :
Audit No. :	Date of Audit : <i>29.08.2020</i>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>1. [Signature]</i> <i>2. [Signature]</i>	
Auditor Name :	Signature & Date :
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction :	
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	<i>[Signature]</i> Dean
Auditee Name : <i>Dean of CDC office</i>	College Development Council Andhra University Visakhapatnam-530 003
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status: Open / Closed.	Auditor Name : Signature & Date :
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign. Date :	

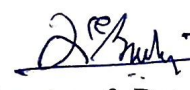

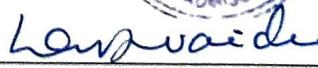
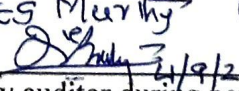
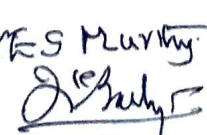
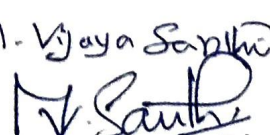


ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/DOAI

Dept./Function : Directorate of Admissions	Responsibility :
Audit No. :	Date of Audit : 04-09-2020
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<b>ISO 9001 Clause :</b>	<b>Reference Document(s) :</b>
Nonconformity : None.	
Auditor's Name : 1. Prof. N.V.E.S. Murthy 2. Prof. M. Vijaya Santhi	
Signature & Date :  4/9/20. 	
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	NONE
Root Cause Analysis :	-
Proposed Corrective Action :	-
Proposed Completion Date :	-
Auditee Name : Prof. D. A. Naide	Signature & Date :  4/9/2020
Verification of corrective actions (To be filled by Auditor at the time of closure) None.	
Status: Open / Closed.	Auditor Name : N.V.E.S. Murthy M. Vijaya Santhi
	Signature & Date :  4/9/20.
Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)	
Auditor Name / Signature	N.V.E.S. Murthy M. Vijaya Santhi
Date: 4/9/20.	 



**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

ALF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/USK1

Dept. Function : <u>V.S. Krishna Library</u>	Responsibility :
Audit No. :	Date of Audit : <u>7-9-2020</u>

**DETAILS OF NONCONFORMAITY (To be filled by Auditor)**

ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>- Nil -</u>	
Auditor Name :	Signature & Date : <u>V. Gignis sh</u> <u>2. Basavaiah</u> <u>(Prof. K. BASAVAIAN)</u>

**CORRECTIVE ACTION REPORT (To be filled by Auditee)**

Proposed correction :	_____
Root Cause Analysis :	_____
Proposed Corrective Action :	_____
Proposed Completion Date :	
Auditee Name :	Signature & Date : <u>K. V. R. S. D. 7/9/2020</u> <u>Head Librarian</u>
Verification of corrective actions (To be filled by Auditor)	<u>Dr. V.S. Krishna Library</u> <u>Andhra University</u> <u>Visakhapatnam-530 002</u> <u>Andhra Pradesh</u>
Status: Open / Closed.	

**Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)**

Auditor Name / Signature : <u>V. Gignis sh</u>	: <u>2. Basavaiah</u>
Date: <u>7/9/2020</u>	<u>(Prof. K. BASAVAIAN)</u>





**ANDHRA UNIVERSITY  
VISAKHAPATNAM**

AUF-06

**AUDIT NON-CONFORMANCE REPORT (NCR)**

NCR No.: 2020/09/P5

Principal Office	
Dept./ Function : <u>College of Pharmacy</u>	Responsibility : <u>Dr. K. V. Ramana Murthy, Principal</u>
Audit No. :	Date of Audit : <u>07-09-2020</u>
<b>DETAILS OF NONCONFORMITY (To be filled by Auditor)</b>	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <u>Dr. K. Srinivas Rao</u>	
Auditor Name : <u>P. K. T. B. Paul</u>	Signature & Date : <u>[Signature] 27/9/20</u>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<u>- NIL -</u>
Root Cause Analysis :	
Proposed Corrective Action :	
Proposed Completion Date:	
Auditee Name : <u>Dr. K. V. Ramana Murthy, Principal</u>	Signature & Date : <u>[Signature] 27/9/20</u> PRINCIPAL
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name Signature & Date : <u>Dr. K. Srinivas Rao</u>
<b>Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)</b>	
<u>- NIL -</u>	
Auditor Name / Sign.	<u>Dr. K. Srinivas Rao</u>
Date :	<u>07-09-2020</u>

[Signature]



# ANDHRA UNIVERSITY VISAKHAPATNAM

AUF-06

## AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No.: 2020/09/Exam 1

Dept./Function : <b>EXAMINATIONS A.U.</b>	Responsibility : -
Audit No. :	Date of Audit : <b>09/09/2020</b>
<b>DETAILS OF NONCONFORMAITY (To be filled by Auditor)</b>	
<u>ISO 9001 Clause :</u>	<u>Reference Document(s) :</u>
Nonconformity : <b>AUF-72, 73, 79, 83 ad 8 to be updated / properly to be maintained</b>	
Auditor Name : <b>Prof. G. G. G. Sankar</b>	Signature & Date : <b>[Signature] 09/09/2020</b>
<b>CORRECTIVE ACTION REPORT (To be filled by Auditee)</b>	
Proposed correction :	<b>The above documents will be maintained properly, by following the suggestions.</b>
Root Cause Analysis :	<b>over look</b>
Proposed Corrective Action :	<b>we instruct the respective persons for suggestions / upgradation.</b>
Proposed Completion Date :	<b>10-09-2020</b>
Auditee Name : <b>Prof. G. V. RAVINDRANADH BABU, DEAN, PG of Prof. Exams.</b>	Signature & Date : <b>[Signature] 09/09/2020</b>
<b>Verification of corrective actions (To be filled by Auditor at the time of closure)</b>	
Status: Open / Closed.	Auditor Name : <b>[Signature]</b>
<b>Verified</b>	Signature & Date : <b>[Signature] 10/09/2020</b>
<b>Verification of effectiveness of corrective action (To be filled by auditor during next internal audit)</b>	
(P. G. G. Sankar) <b>10/09/2020</b> <b>(G. G. G. Sankar)</b>	
Auditor Name / Signature	
Date:	

Dean of Examinations  
(Professional)  
ANDHRA UNIVERSITY  
VISAKHAPATNAM



ANDHRA UNIVERSITY  
VISAKHAPATNAM

AUF-06

AUDIT NON-CONFORMANCE REPORT (NCR)

NCR No. 2020/09/PG

Dept / Function : <i>Law College</i>	Responsibility : <i>Internal Auditor</i>
Audit No :	Date of Audit : <i>21/9/2020</i>
DETAILS OF NONCONFORMITY (To be filled by Auditor)	
ISO 9001 Clause :	Reference Document(s) :
Nonconformity : <i>Nil</i>	
Auditor Name : <i>P. Suresh</i>	Signature & Date : <i>P. Suresh</i>
CORRECTIVE ACTION REPORT (To be filled by Auditee)	
Proposed correction : <i>Nil</i>	
Root Cause Analysis : <i>—</i>	
Proposed Corrective Action : <i>—</i>	
Proposed Completion Date :	
Auditee Name : <i>Prof. S. Sumitra</i>	Signature & Date : <i>S. Sumitra</i> Principal
Verification of corrective actions (To be filled by Auditor at the time of closure)	
Status : <input checked="" type="checkbox"/> Open / <input type="checkbox"/> Closed	Auditor Name : <i>P. Suresh</i> Signature & Date : <i>P. Suresh</i>
Verification of effectiveness of corrective action (to be filled by auditor during next internal audit)	
Auditor Name / Sign Date	

R. Ambedkar College of Law  
Andhra University  
Visakhapatnam

**Internal Audit NCR Summary:**



AUF-07

**INTERNAL AUDIT NCR SUMMARY**

Audit Date(s) : 31<sup>st</sup> August - 15<sup>th</sup> September 2020

Sl. No.	College / Departments / Section	Total No. of Nonconformities
1.	Vice-Chancellor	Nil
2.	Rector	Nil
3.	Registrar	Nil
4.	MR Office	Nil
5.	Principal, AU College of Arts & Commerce	Nil
6.	Anthropology	Nil
7.	Commerce & Mgt. Studies	Nil
8.	Economics	Nil
9.	Education	Nil
10.	English	Nil
11.	Fine Arts	5
12.	Hindi	Nil
13.	History and Archaeology	Nil
14.	Human Resource Management	Nil
15.	Journalism & Mass Communication	Nil
16.	Library & Information Science	Nil
17.	Music & Dance	Nil
18.	Philosophy	Nil
19.	Political Science & Public Administration	Nil
20.	Social Work	6
21.	Sociology	1
22.	Telugu	Nil
23.	Physical Education	Nil
24.	Principal, AU College of Science & Technology	Nil
25.	Applied Mathematics	Nil
26.	Biochemistry	Nil
27.	Biotechnology	Nil
28.	Botany	Nil
29.	Environmental Sciences	Nil
30.	Food & Nutrition and Dietetics	Nil
31.	Geography	Nil
32.	Geology	Nil
33.	Geophysics	Nil
34.	Human Genetics	Nil

35.	Inorganic & Analytical Chemistry	Nil
36.	Marine Living Resources	Nil
37.	Mathematics	Nil
38.	Meteorology & Oceanography	Nil
39.	Microbiology	Nil
40.	Nuclear Physics	Nil
41.	Organic Chemistry	Nil
42.	Psychology & Parapsychology	Nil
43.	Physics	Nil
44.	Statistics	Nil
45.	Zoology	Nil
46.	Principal, College of Engineering(A)	Nil
47.	Architecture	Nil
48.	Chemical Engineering	Nil
49.	Civil Engineering	Nil
50.	Computer Science & Systems Engineering	Nil
51.	Electrical Engineering	Nil
52.	E C E	Nil
53.	Geo-Engineering	Nil
54.	Instrument Technology	Nil
55.	Marine Engineering	Nil
56.	Mechanical Engineering	Nil
57.	Metallurgical Engineering	Nil
58.	Engineering Chemistry	Nil
59.	Engineering Mathematics	Nil
60.	Engineering Physics	Nil
61.	Humanities and Social Sciences	Nil
62.	Principal, College of Engineering for Women	Nil
63.	Computer Science Engineering (AUCEW)	Nil
64.	Mechanical Engineering (AUCEW)	Nil
65.	ECL (AUCEW)	Nil
66.	Electrical Engineering & Civil Engineering (AUCEW)	Nil
67.	Principal, College of Pharmaceutical Sciences	Nil
68.	Principal, Dr. B.R.Ambedkar College of Law	Nil
69.	College Development Council	Nil
70.	Directorate of Admissions	Nil
71.	Examination Branch	Nil
72.	Dr. V.S.Krishna Library	Nil

### **5) Management Review meeting:**

Following the internal Academic and administrative audits done by the internal auditors team, the annual Top Management review meeting was conducted by the office of IQAC on 14/09/2020 at 11:30am in Vice Chancellors Chamber to all the Top Management.

### **6) Action Taken report:**

Minutes of the previous meeting were presented and ratified by the Top Management. Due to COVID 19 constraints, the agenda points were discussed for the present MR meeting before the VC, Rector and Registrar and the following is the action taken report on Academic and Administrative audit.

- 1) The Top Management has discussed Quality objectives at department level and decided to carryout trend analysis annually.
- 2) To maintain Nonconformities related to process performance and corrective action to be taken if any in the departments.
- 3) To obtain feedbacks at the end of every semester and the stakeholders feedback will be taken as and when required. As per the suggestions of external auditors and Hon'ble VC the office of IQAC has initiated the process of Online student feedback system in coordination with AU computer centre. Coordinator expressed that due to pandemic the process has been delayed.
- 4) The effectiveness of action taken should be reviewed in next MR.
- 5) Hon'ble VC has expressed that the university should gear up for NAAC visit in 2023.

### **7) ISO 9001:2015 external audits by TUV SUD:**

The ISO 9001:2015 surveillance audit to conduct academic and administrative audit is scheduled as follows:

Date of ISO surveillance Audit : 2020-09-26 (Online)

External audit members from TUV-SUD: 1. B.Rohit (Lead auditor)  
2. K. Sundaresan  
3. Pavan Kumar Venkata

### **8) The detailed audit report of TUV SUD is attached**



Management Service

# AUDIT REPORT

Organization name	Andhra University
Standard(s)	ISO 9001:2015
Order No.	4153154208
Audit start date	2020-09-26
Audit end date	2020-09-26
Audit type	6. Surveillance Audit (Extraord. Event (IAF))
Certification type	Single
Client number	66843-01
Organization's audit representative	Prof. D. Lalitha Bhaskari
Certificate No.	99 100 14363
Result	<input type="checkbox"/> Certificate release recommended <input checked="" type="checkbox"/> Maintenance of certificate recommended <input type="checkbox"/> Non-Conformities were identified and closed by re-audit on site <input type="checkbox"/> Non-Conformities were identified and closed by resubmitted documentation <input type="checkbox"/> Suspension of certificate recommended <input type="checkbox"/> Withdrawal of certificate recommended <input type="checkbox"/> Certification process terminated

**Enclosed documents:** Action list  
Audit plan

Audit Team	
Function	Name
Lead Auditor	Rohit Bhure
Auditor	Gopu Suresh
Auditor	K. Sundaresan

**Changes since last audit:**

- No changes since last audit
- Significant change of scope
- Increase/decrease in number of employees
- Management System / documented information
- deviations from the audit plan
- significant issues impacting the audit program
- audit objectives or audit criteria
- Others

**Auditor to enter comments below for any changes identified above:**

Yes revised in the Annex 3( audit program ) More focus on on site activities in the next audit as this audit was performed as remote audit due to Covid -19 issue & also audit was conducted through ICT ( remote audit ) techniques due to extraordinary events , hence the information provided & verified in this audit is based on the documented information verified in remote audit

Date of next regularly scheduled audit	2021-07-01
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## Audit conclusion

During the audit it was checked how the documented management system was established, implemented and improved at the different areas of the organization.

The audit covered relevant processes / areas of the organization in order to obtain an overall picture of the degree of management system implementation. Although performed to reasonable depth, not every detail of the complete Management System could be checked.

The processes and their associated areas of the organization were checked in accordance with the pre-agreed audit plan, audit program and process analysis.

### Verification of previous audit nonconformities

The audit team evaluated the corrective action taken for the nonconformities/ areas of concern from the previous audit.

In the case of RA / Re-Certification audits, the audit team considered the audit reports for the last two audits in the audit planning / performance of the audits and in particular checked the nonconformities / areas of concerns.

The corrective actions were found to be:

Effectively Implemented?	Not applicable (no existing MiN or NC)
--------------------------	--

### Comments:

No Min or NC in last audit

Did the organization use the CB certification mark? (Controlling the use of certification documents, statements and marks)	Not in use
---	------------

### Comments:

Certification documents and marks are not being used.

Audit team conclusion		
Is a re-audit needed?	<input type="radio"/> Yes	<input checked="" type="radio"/> No

**Comments:**

Not Required
--------------

**Type and number of audit findings**

	Major nonconformities	Minor nonconformities	Opportunities for improvement	Positive aspects
Total number	-	-	1	-

Standard elements with findings are listed in the action list (NC Management).

The audit findings are based on the audit evidence collected during the audit and available to the certification body.

**Audit summary**

Refer to level of maturity of the MS, commitment of top management, guaranteeing the continuous compliance with the legal and other requirements , application of performance indicators, continual improvement, meeting of objectives and targets, competence of personnel, effectiveness of internal audits and management reviews, environmental performance, etc.

<p>Prof. PVGD Prasad Reddy – Vice Chancellor and Registrar periodically conduct management review meetings with the Principals/Directors, Heads of departments, faculty and supporting staff to monitor and evaluate the quality management system and take appropriate measures for improvement. Effective Internal audit and management review.</p> <p>Last Internal audit conducted from 30/08/20 to 15/09/20 and management review on 14/09/20. Quality objectives are consistent with quality policy and monitored in academic committee meetings at departmental level and management review meetings.</p> <p>The University has a formal mechanism to obtain feedback on curriculum and other aspects periodically from parents, alumni, employers, community, academic peers and industry. In addition, feedback is obtained from the participants of seminars/ conferences, company representatives who visit the University. Feed backs are discussed in MRM for improvement.</p> <p>Infrastructure include – Academic building, Administrative &amp; Supportive Services, Common halls,e classrooms,Seminar halls,Guest house,Hostel for men,women,Post office, Canteen, ,medical facilities.,Sports infrastructure,Infrastructure for cultural activities.consultancy assignments in government and non-governmental organizations</p>
--

Auditor’s name	Rohit Bhure	date
Auditor’s signature	Rohit Bhure	26.09.2020



## Site specific information

Audited Site	
Site specific name	Andhra University
State	India
City	Visakhapatnam
Postal code	530003
Address	Andhra Pradesh
Client number	66843-01
Certificate scope	Design of Curriculum, Regulations, Eligibility norms and implementation of on-campus Undergraduate, Postgraduate, Professional teaching & Research programmes; Conduct of Examinations, Evaluation, Publication of Results and Award of Degrees; Grant of Affiliation and Academic monitoring to the programmes offered by colleges and other academic institutions; Create infrastructure through Sponsored Research & Consultancy
Industry code(s)	EA 35; EA 37
Products / Services	Education
Total number of personnel onsite	400
Total number of effective personnel	400

ISO 9001	
Number of shifts	1
Temporary sites / projects:	<input checked="" type="radio"/> Not applicable <input type="radio"/> Applicable
All clauses apply	<input checked="" type="radio"/> Yes <input type="radio"/> No
Quality relevant manufacturing/realization processes:	Design, Teaching, Examination, Research & Consultancy, Counselling & Admissions, Library, Infrastructure & Equipment Maintenance, Purchase



Risk category (low/medium/high):	Medium	
KPIs:	Name	Trend
	Student Placement - T 60 % - A : 37 in 1st Qtr & 50 in 2nd Qtr	Positive
	First Class Awarded - T 25 - A : 77	Positive
	NAAC Accreditation - 3.6 / 4.0	Positive
Relevant compliance obligations / Significant legal requirements with regard to products / services:	Establishment of Andhra University under Madras University Act in 1926. Andhra Pradesh Universities Act 4 of 1991; Amended Act 7 of 2004	

## Audit objectives

See attached Audit Plan

## Additional remarks

### 1. Disclaimer statement

Auditing is based on a sampling process of the available information. Any audit recommendations are subject to an independent review prior to a decision concerning the awarding or renewal of certification.

A management system certification audit (initial, surveillance or recertification audit) is not a legal compliance audit (ISO 17021:2015, 9.2.1.2; IAF MD22:2018, Appendix C).

### 2. Duty of information

The Certification Body shall be notified by the client without delay of all changes that may impact on the management system's capability to continue to fulfill the requirements of the relevant standard now and in the future.

These matters include major changes regarding:

- legal, commercial, organizational status or ownership
- organization and management (e.g. key managerial, decision-making or technical staff)
- change of address and sites
- scope of operations under the certified management system

### 3. Due dates

The due date (last day of the certification audit) must be considered for the planning of any additional audit. The respective due dates should be coordinated with the lead auditor.

### 4. Confidentiality

The Certification Body will treat all received documented information related to the certification process as strictly confidential.

Copies to:

- Members of the audit team
- Certification body
- Client